

UNITED STATES DISTRICT COURT  
DISTRICT OF NEVADA

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IKELENE BOH,

Case No. 2:20-cv-00350-EJY

Plaintiff,

## ORDER

V.

ANDREW SAUL,

Defendant.

10 Plaintiff Ikelene Boh (“Plaintiff”) seeks judicial review of the final decision of the  
11 Commissioner of the Social Security Administration (“Commissioner” or the “Agency”) denying  
12 her application for disability insurance (“DIB”) and supplemental security income (“SSI”) under  
13 Title II and Title XVI of the Social Security Act (the “Act”), respectively. For the reasons stated  
14 below, the Commissioner’s decision is reversed in part, and this case is remanded for further  
15 proceedings consistent with this Order.

## I. BACKGROUND

17 On July 21, 2016, Plaintiff filed applications for DIB and SSI, alleging onset of disability  
18 beginning January 1, 2016. Administrative Record (“AR”) 239-254. The Commissioner denied  
19 Plaintiff’s claims by initial determination on November 22, 2016, and again upon reconsideration  
20 on March 22, 2017. AR 89-92. Plaintiff requested a hearing before an Administrative Law Judge  
21 (“ALJ”). AR 140-41. After conducting a hearing on March 1, 2019 (AR 42-60), ALJ Norman L.  
22 Bennett issued his determination that Plaintiff was not disabled on March 18, 2019. AR 20-31. On  
23 March 27, 2019, Plaintiff requested that the Appeals Council review the decision by the ALJ. AR  
24 236-238. On December 18, 2019, the Appeals Council denied Plaintiff’s request for review. AR 1-  
25 3. Upon denial of Plaintiff’s request for review by the Appeals Council, the ALJ’s March 18, 2019  
26 decision became the final order of the Commissioner. 42 U.S.C. § 405(h).

## II. STANDARD OF REVIEW

The reviewing court shall affirm the Commissioner's decision if the decision is based on correct legal standards and the legal findings are supported by substantial evidence in the record. 42 U.S.C. § 4095(g); *Batson v. Comm'r Soc. Sec. Admin.*, 359 F.3d 1190, 1193 (9th Cir. 2004). Substantial evidence is "more than a mere scintilla. It means such relevant evidence as a reasonable mind might accept as adequate to support a conclusion." *Richardson v. Perales*, 402 U.S. 389, 401 (1971) (internal citation and quotation marks omitted). In reviewing the Commissioner's alleged errors, the Court must weigh "both the evidence that supports and detracts from the [Commissioner's] conclusion." *Martinez v. Heckler*, 807 F.2d 771, 772 (9th Cir. 1986) (internal citations omitted).

“When the evidence before the ALJ is subject to more than one rational interpretation, we must defer to the ALJ’s conclusion.” *Batson*, 359 F.3d at 1198 (citing *Andrews v. Shalala*, 53 F.3d 1035, 1041 (9th Cir. 1995)). A reviewing court, however, “cannot affirm the decision of an agency on a ground that the agency did not invoke in making its decision.” *Stout v. Comm’r Soc. Sec. Admin.*, 454 F.3d 1050, 1054 (9th Cir. 2006) (internal citation omitted). Finally, the court may not reverse an ALJ’s decision on account of an error that is harmless. *Burch v. Barnhart*, 400 F.3d 676, 679 (9th Cir. 2005) (internal citation omitted). “[T]he burden of showing that an error is harmful normally falls upon the party attacking the agency’s determination.” *Shinseki v. Sanders*, 556 U.S. 396, 409 (2009).

### III. DISCUSSION

#### A. Establishing Disability Under The Act

To establish whether a claimant is disabled under the Act, there must be substantial evidence that:

(a) the claimant suffers from a medically determinable physical or mental impairment that can be expected to result in death or that has lasted or can be expected to last for a continuous period of not less than twelve months; and

(b) the impairment renders the claimant incapable of performing the work that the claimant previously performed and incapable of performing any other substantial gainful employment that exists in the national economy.

1        *Tackett v. Apfel*, 180 F.3d 1094, 1098 (9th Cir. 1999), citing 42 U.S.C. § 423(d)(2)(A). “If a claimant  
2        meets both requirements, he or she is disabled.” *Id.*

3        The ALJ employs a five-step sequential evaluation process to determine whether a claimant  
4        is disabled within the meaning of the Act. *Bowen v. Yuckert*, 482 U.S. 137, 140 (1987); 20 C.F.R.  
5        § 404.1520(a). Each step is potentially dispositive and “if a claimant is found to be ‘disabled’ or  
6        ‘not-disabled’ at any step in the sequence, there is no need to consider subsequent steps.” *Tackett*,  
7        180 F.3d at 1098 (internal citation omitted); 20 C.F.R. § 404.1520. The claimant carries the burden  
8        of proof at steps one through four, and the Commissioner carries the burden of proof at step five.  
9        *Tackett*, 180 F.3d at 1098.

10       The five steps are:

11       Step 1. Is the claimant presently working in a substantially gainful activity? If so, then the claimant is “not disabled” within the meaning of the Social Security Act and is not entitled to disability insurance benefits. If the claimant is not working in a substantially gainful activity, then the claimant’s case cannot be resolved at step one and the evaluation proceeds to step two. *See* 20 C.F.R. § 404.1520(b).

14       Step 2. Is the claimant’s impairment severe? If not, then the claimant is “not disabled” and is not entitled to disability insurance benefits. If the claimant’s impairment is severe, then the claimant’s case cannot be resolved at step two and the evaluation proceeds to step three. *See* 20 C.F.R. § 404.1520(c).

17       Step 3. Does the impairment “meet or equal” one of a list of specific impairments described in the regulations? If so, the claimant is “disabled” and therefore entitled to disability insurance benefits. If the claimant’s impairment neither meets nor equals one of the impairments listed in the regulations, then the claimant’s case cannot be resolved at step three and the evaluation proceeds to step four. *See* 20 C.F.R. § 404.1520(d).

20       Step 4. Is the claimant able to do any work that he or she has done in the past? If so, then the claimant is “not disabled” and is not entitled to disability insurance benefits. If the claimant cannot do any work he or she did in the past, then the claimant’s case cannot be resolved at step four and the evaluation proceeds to the fifth and final step. *See* 20 C.F.R. § 404.1520(e).

23       Step 5. Is the claimant able to do any other work? If not, then the claimant is “disabled” and therefore entitled to disability insurance benefits. *See* 20 C.F.R. § 404.1520(f)(1). If the claimant is able to do other work, then the Commissioner must establish that there are a significant number of jobs in the national economy that claimant can do. There are two ways for the Commissioner to meet the burden of showing that there is other work in “significant numbers” in the national economy that claimant can do: (1) by the testimony of a vocational expert [(“VE”)], or (2) by reference to the Medical-Vocational Guidelines at 20 C.F.R. pt. 404, subpt. P, app. 2. If the Commissioner meets this burden, the claimant is “not disabled” and therefore not entitled to disability insurance benefits. *See* 20 C.F.R.

1                   §§ 404.1520(f), 404.1562. If the Commissioner cannot meet this burden, then the  
2                   claimant is “disabled” and therefore entitled to disability benefits. *See id.*

3                   *Id.* at 1098–99 (internal alterations omitted).

4                   B.     Summary of ALJ’s Findings

5                   At step one, the ALJ determined that Plaintiff did not engage in substantial gainful activity  
6                   since January 1, 2016, the alleged onset date of disability. AR 22. At step two, the ALJ found that  
7                   Plaintiff suffered from medically determinable severe impairments consisting of “degenerative joint  
8                   disease of the SI joint; degenerative disc disease; Fibromyalgia; Lupus; state post remote intestinal  
9                   surgery; left rotator cuff tear, status post-surgical repair.” *Id.* He also determined that “claimant’s  
10                   medically determinable mental impairments of anxiety; depression; [post-traumatic stress disorder]  
11                   (“PTSD”), considered singly and in combination, do not cause more than minimal limitation in the  
12                   claimant’s ability to perform basic mental work activities and are therefore nonsevere.” AR 23.

13                   In preparation for step four, the ALJ found that Plaintiff had the residual functional capacity  
14                   (“RFC”)<sup>1</sup> to:

15                   [P]erform light work as defined in 20 CFR 404.1567(b) and 416.967(b) except, the  
16                   claimant cannot climb ladders, ropes or scaffolds and she cannot reach above  
17                   shoulder level with her left arm. The claimant is limited to simple repetitive tasks,  
18                   and reasoning level 2-3.

19                   AR 25.

20                   At step four, the ALJ determined, based on the testimony of a Vocational Expert, that  
21                   Plaintiff was “capable of performing past relevant work as a Cashier (DOT: 211.462-010, SVP 2,  
22                   Unskilled, Light). This work does not require the performance of work-related activities precluded  
23                   by the claimant’s residual functional capacity.” AR 30.

24                   The ALJ concluded that “the claimant has not been under a disability, as defined in the Social  
25                   Security Act, from January 1, 2016, through the date of this decision.” *Id.*

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28                   <sup>1</sup> “Residual functional capacity” is defined as “the most you can still do despite your limitations.” 20 C.F.R.  
§ 416.945(a)(1).

1           C. Summary of Medical Evidence<sup>2</sup>

2           1. **Dr. Cruvant's Examinations and Opinion**

3           On February 2, 2016, Plaintiff met with her primary care physician, Ethan Cruvant. AR 363.  
4           She complained of left shoulder and neck pain. Dr. Cruvant's physical exam revealed decreased  
5           range of motion in the left arm secondary to pain, but no significant muscle tenderness, normal grasp,  
6           and fairly normal range of motion in the right arm. *Id.* He determined that he "would only consider  
7           fairly conservative measures" for her shoulder pain. AR 364. Plaintiff also complained of anxiety.  
8           AR 363. Dr. Cruvant observed that Plaintiff "gets emotional discussing problems" and was crying  
9           at the appointment. *Id.* He prescribed Celexa and Lorazepam for Plaintiff's anxiety and depression  
10           and recommended counseling. *Id.*

11           Plaintiff saw Dr. Cruvant again on June 24, 2016, complaining of pain in her back, shoulder,  
12           and "other joints." AR 346. On exam, Plaintiff showed "some discomfort with range of motion of  
13           shoulders" and "some discomfort with palpation of back" in "both the muscular and bony areas."  
14           *Id.* Cruvant prescribed Neurontin for her chronic back pain. AR 347. Plaintiff also complained that  
15           the Celexa she was prescribed made her feel worse, but that the Lorazepam was helpful. She  
16           reported she still suffered from "significant panic problems." AR 346. Dr. Cruvant observed that  
17           Plaintiff volunteers with hospice, "is feeling better," and has a significant other. *Id.* He continued  
18           Lorazepam for anxiety and continued recommending counseling. AR 347.

19           On May 4, 2017, Dr. Cruvant provided a "physical assessment" statement diagnosing  
20           Plaintiff with systemic lupus, neuropathy, a tailbone fracture, and shoulder pain. AR 432-33. Dr.  
21           Cruvant opined that Plaintiff would miss more than 4 days of work per month; could only lift 10  
22           pounds; would need to take unscheduled breaks "constantly every five minutes" and that the break  
23           would last an hour before she could return to work; could sit only 1-2 hours and stand/walk zero  
24           hours; would need to recline or lie down in excess of the typical breaks associated with an 8-hour  
25           work day; and has limitations in doing repetitive reaching, handling, or fingering. *Id.*

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28           <sup>2</sup> The Court does not attempt to summarize the entirety of the 900+ page administrative record in this case. The  
Court instead highlights records and findings most relevant to the analysis below.

## 2. Dr. Holland's Examination and Opinion

2       Stephanie Holland, PsyD, examined Plaintiff on November 3, 2016. AR 417-22. Dr.  
3       Holland noted that Plaintiff was diagnosed with PTSD in 2002 and takes Xanax nightly and as  
4       needed during the day. AR 419-20. She attended therapy for several years but stopped attending  
5       after going through a divorce. AR 420. Dr. Holland diagnosed Plaintiff with PTSD and opined that  
6       Plaintiff can understand, remember, and carry out an extensive variety of complex instructions,  
7       detailed instructions, and simple one- or two-step instructions; can interact appropriately with  
8       supervisors and co-workers; and can maintain concentration and attention sufficient to carry out an  
9       extensive variety of complex instructions, detailed instructions, and simple instructions. AR 422.  
10      Dr. Holland also opined that Plaintiff could not consistently interact appropriately in public due to  
11      her anxiety symptoms. *Id.*

### 3. State Agency Non-Examining Physician Opinions

13 Dr. Ankin, a non-examining state agency physician, issued an opinion on Plaintiff's physical  
14 RFC on November 22, 2016. AR 61-74. After reviewing the evidence submitted at that time, Dr.  
15 Ankin determined that Plaintiff would be limited to occasionally lifting and/or carrying 50 pounds,  
16 frequently lifting and/or carrying 25 pounds, could stand, walk, and sit about 6 hours in an 8-hour  
17 workday, and had no limitations in her ability to push and/or pull. AR 68. Dr. Ankin also determined  
18 that Plaintiff had no postural, manipulative, visual, communicative, or environmental limitations.  
19 After reviewing medical records from 2016, and Plaintiff's subjective complaints, Dr. Ankton  
20 determined that a "medium RFC [s]eems most appropriate at this time." AR 69.

21 Dr. Torigoe conducted a review of Plaintiff's mental impairments on November 22, 2016.  
22 AR 61-74. He found that Plaintiff had mild restrictions of activities of daily living, moderate  
23 difficulties maintaining social functions, mild difficulties maintaining concentration, persistence or  
24 pace, and no repeated episodes of decompensation. AR 66. This doctor also noted that Plaintiff's  
25 reported intensity and impact of her mental impairments "is not supported by all objective medical  
26 and non-medical findings." AR 68. Dr. Torigoe noted that Plaintiff was not currently seeking  
27 outpatient treatment for her anxiety, and that she was volunteering "1-2 days a week, despite her  
28 statements . . . which noted she doesn't go anywhere on a regular basis." *Id.* Dr. Torigoe gave Dr.

1 Holland's opinion "great weight" because it was consistent with objective findings. *Id.* Dr. Torigoe  
2 opined that Plaintiff had no understanding and memory limitations and no sustained concentration  
3 and persistence limitations. AR 70. Dr. Torigoe opined that Plaintiff's ability to interact  
4 appropriately with the general public was moderately limited and found no other social interaction  
5 limitations. *Id.* He also opined that Plaintiff was moderately limited in her ability to respond  
6 appropriately to changes in work settings but had no other "adaptation limitations." *Id.*

7 On reconsideration of Plaintiff's application, Drs. Berkowitz and Lokshin received additional  
8 medical evidence from 2017. AR 93-106. The physicians determined that "a medium RFC is  
9 confirmed and mental is non severe." AR 98. Dr. Berkowitz, Psy.D., assessed that Plaintiff had  
10 mild limitations to her ability to interact with others; concentrate, persist, or maintain pace, and adapt  
11 or manage herself. AR 99. He determined that "there is no evidence of severe psychiatric signs or  
12 symptoms secondary to mental impairments or indication that more intensive psychiatric treatment  
13 is warranted or has been recommended." AR 100. Dr. Lokshin confirmed the medium RFC  
14 assessment and restrictions made in the initial report by Dr. Ankin. AR 101-02.

15 **4. Additional Medical Evidence**

16 Plaintiff submitted additional medical records dated after the opinions summarized above.  
17 The Court discusses them to two parts: those relating to Plaintiff's physical ailments, and those  
18 relating to her mental impairments.

19 *a. Physical Records*

20 Plaintiff sought treatment from Dr. Colin Rock at the Nevada Comprehensive Pain Center  
21 approximately two times a month from August 2017 through January 2019. *See generally* AR 595-  
22 699; 708-28; 807-40; 871-84. At Plaintiff's first appointment on August 28, 2017, physical  
23 examination of her cervical and lumbar spine revealed tenderness to palpation at the bilateral  
24 paracervical muscle groups and facet columns, severely decreased cervical range of motion,  
25 decreased sensation in her right arm, weak strength in the bilateral upper extremities, tenderness to  
26 palpation over bilateral paralumbar muscle groups and facet columns, decreased lumbar range of  
27 motion, and normal sensation, strength, and reflexes in the bilateral lower extremities, with negative  
28 spurling and straight leg raise tests. Her left shoulder exam revealed severely restricted range of

1 motion, tenderness to palpation along the joint line, and rotator cuff weakness affecting all four  
2 muscles. Plaintiff's hip exam revealed normal range of motion and tenderness to palpation along  
3 the greater trochanters. AR 696-97. These physical exam findings remained largely unchanged  
4 throughout Plaintiff's visits with Dr. Rock.<sup>3</sup> Throughout Plaintiff's course of treatment with the  
5 Nevada Comprehensive Pain Center, Dr. Rock administered multiple cervical facet medial branch  
6 blocks, cervical radiofrequency denervations, and sacroiliac joint ("SI") joint and trochanteric bursa  
7 injections to manage Plaintiff's pain in her spine, hips, and joints.<sup>4</sup> The injections provided between  
8 40% and 100% benefit to Plaintiff's pain for varying lengths of time.

9 On September 1, 2017, Plaintiff got bilateral hip and lumbar spine x-rays. The bilateral hip  
10 x-ray showed "very mild degenerative changes . . . in the right SI joint" and "normal-appearing  
11 bilateral hips." AR 508. The lumbar spine x-ray showed mild dextroscoliosis of the lumbar spine  
12 with "mild to moderate multilevel degenerative disc and degenerative joint disease," and left-side  
13 nephrolithiasis. AR 509. On September 12, 2017, Plaintiff underwent an MRI of her left shoulder,  
14 which showed a "supraspinatus tendon high-grade bursal-sided footprint partial tear measuring  
15 almost 1 cm in AP dimension without significant medial retraction" and "mild infraspinatus  
16 tendinosis, posterior superior labra tear at the 11 to 12 position." AR 517. An October 19, 2017  
17 chest CT showed mild levoscoliosis of the thoracic spine. AR 524.

18 On January 11, 2018, Plaintiff received a corticosteroid injection in her left shoulder because  
19 her pain was interfering with her daily activities. AR 777-78. On February 7, 2018, Plaintiff  
20 received left shoulder chronic rotator cuff surgery. AR 796. Following surgery, she reported

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22 <sup>3</sup> See, e.g., AR 685-86 (September 25, 2017 appointment); AR 680-81 (October 19, 2017); AR 667-68  
23 (December 1, 2017); AR 655-56 (January 3, 2018); AR 650-51 (January 31, 2018); AR 645-46 (February 14, 2018);  
24 AR 641 (February 28, 2018); AR 635 (March 14, 2018); AR 623 (April 11, 2018); AR 618 (April 30, 2018); AR 609  
(May 30, 2018); AR 598 (June 28, 2018); AR 720 (July 25, 2018); AR 711 (August 22, 2018); AR 831 (August 28,  
2018); AR 825-26 (September 19, 2018); AR 816-17 (October 17, 2018); AR 810-11 (October 30, 2018); AR 880-81  
(November 28, 2018); AR 874-75 (January 2, 2019).

25 <sup>4</sup> See AR 688 (September 11, 2017—bilateral cervical facet medial branch block at C4-C7); AR 674 (October  
26 23, 2017—right cervical radiofrequency denervation at C4-C7); AR 670 (November 6, 2017—left cervical  
27 radiofrequency denervation at C4-C7); AR 658 (December 18, 2017—bilateral SI joint and trochanteric bursa  
28 infections); AR 625 (April 2, 2018—bilateral SI joint and trochanteric bursa injections); AR 611 (May 14, 2018—right  
cervical radiofrequency denervation at C4-C7); AR 601 (June 4, 2018—left cervical radiofrequency denervation at C4-  
C7); AR 714 (August 13, 2018—bilateral SI joint and trochanteric bursa injections); AR 819 (October 8, 2018—bilateral  
SI joint and trochanteric bursa injections); AR 877 (November 28, 2018—recommending further cervical radiofrequency  
denervations); AR 874-75 (January 2, 2019—recommending further SI joint and trochanteric bursa injections).

1 reduced pain and full range of motion in her left shoulder. However, on February 22, 2018 Plaintiff  
2 reported that her pain increased since she received nasal surgery, during which her arm was placed  
3 in an uncomfortable position. AR 785. Plaintiff was referred to physical therapy for her left  
4 shoulder. *Id.*

5 While Plaintiff was seeing Dr. Rock at the Nevada Comprehensive Pain Center, she was also  
6 being seen by doctors at Personal Medical Care for various ailments. All of the progress notes from  
7 Plaintiff's appointments with Personal Medical Care show normal musculoskeletal and neurological  
8 functioning.<sup>5</sup>

9 On September 11, 2018, Plaintiff received an MRI of her cervical spine, which showed  
10 minimal reversal cervical lordosis at C4-C5; minimal subluxation of C3 and C4; some facet  
11 overgrowth and uncovertebral overgrowth results in moderate right foraminal stenosis; narrowing at  
12 C4-C5 and C5-C6; uncovertebral overgrowth at C5-C6 resulting in moderate left greater than right  
13 foraminal stenosis; mild bilateral foraminal stenosis at C4-C5; disc height narrowing with small disc  
14 bulge at C6-C7; and mild foraminal narrowing.<sup>6</sup> AR 766-767. The results were not compared to  
15 Plaintiff's previous cervical spine CT, taken November 16, 2016, which showed severe C4-C5 and  
16 C5-C6 degenerative disk disease; mild to moderate C6-C7 degenerative disk disease; moderate right  
17 C3-C4 neural foraminal stenosis; moderate to severe bilateral C5-C6 neural foraminal stenosis; and  
18 mild bilateral C6-C7 neural foraminal stenosis. AR 493.

19                   b. *Psychological Records*

20 From September 6, 2017 through October 24, 2018, Plaintiff sought treatment for her  
21 psychological ailments at Psychiatric Solutions Clinic. *See* AR 462-84; 700-07; 798-806.  
22 Throughout her treatment, Plaintiff's exams show variable results. Her condition is generally listed  
23 as depressed and anxious, although it is regularly noted that she presents as stable, calm, and  
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25                   <sup>5</sup> *See* AR 558 (September 11, 2017 appointment); AR 552 (September 27, 2017); AR 546 (October 18, 2017);  
26 AR 540 (November 29, 2017); AR 534 (December 12, 2017); AR 747 (January 8, 2018); AR 744 (February 26, 2018);  
27 AR 741 (March 13, 2018); AR 738 (May 4, 2018); AR 735 (August 29, 2018); AR 856 (October 25, 2018); AR 853  
(November 19, 2018).

28                   <sup>6</sup> Plaintiff also received an x-ray of her left elbow, where she began experiencing pain in 2018. Plaintiff does  
not raise the relatively new issues with her left elbow as an issue in this appeal, and the Court therefore does not detail  
the related testing and treatment.

1      pleasant.<sup>7</sup> Her concentration is consistently noted as pre-occupied or easily distracted.<sup>8</sup> It is  
2      occasionally noted that Plaintiff suffers from auditory and visual hallucinations, circumstantial  
3      thought processes, and persecutory and paranoid delusions.<sup>9</sup> However, her insight and judgment are  
4      consistently noted as fair, with normal perception, no memory impairments, appropriate thought  
5      process, appropriate affect, and intact funds of knowledge.<sup>10</sup>

6      On November 30, 2018, Plaintiff transferred care to Desert Behavioral Solutions, and treated  
7      there through January 2019. On exam, Plaintiff was generally noted to be well-groomed with no  
8      distress and able to establish a good rapport and good eye contact. She was regularly calm,  
9      cooperative, and compliant with questioning. She was noted to have good insight and judgment,  
10     and normal thought process and content.<sup>11</sup> She was occasionally sad and dysthymic with a fast rate,  
11     tone, and volume of speech. AR 939. Plaintiff was taking Lamictal, Buspar, Seroquel, and Prozac  
12     for her psychiatric ailments. AR 945.

13     **D. Issues Presented**

14     Plaintiff contends the ALJ erred by (1) failing to discharge his duty to develop the record and  
15     obtain an updated medical opinion (ECF No. 30 at 15); (2) failing to properly explain the weight  
16     granted to Dr. Cruvant, Plaintiff's treating physician (*id.* at 19); and (3) improperly determining that  
17     Plaintiff did not have a severe mental impairment at Step 2 of the sequential evaluation (*id.* at 23).

18     **1. The ALJ erred by rejecting Dr. Cruvant's opinion.**

19     In accordance with Social Security regulations, courts have "developed standards that guide  
20     our analysis of an ALJ's weighing of medical evidence." *Ryan v. Comm'r of Soc. Sec.*, 528 F.3d  
21     1194, 1198 (9th Cir. 2008) (internal citation omitted). Courts "distinguish among the opinions of

23     <sup>7</sup> See AR 483 (September 6, 2017—condition listed as stable); AR 480 (September 15, 2017—depressed,  
24     anxious); AR 477 (October 5, 2017—depressed, anxious); AR 474 (November 7, 2017—depressed, anxious); AR 471  
25     (December 6, 2017—depressed, anxious); AR 468 (January 4, 2018—exams shows calm and pleasant; anxious but  
26     stable condition); AR 465 (March 7, 2018—depressed, anxious, manic); AR 462 (May 28, 2018—depressed, anxious);  
27     AR 703 (July 6, 2018—depressed, anxious); AR 700 (August 8, 2018—depressed, anxious); AR 805 (September 7,  
28     2018 – stable); AR 799 (October 24, 2018 – depressed, anxious).

23     <sup>8</sup> See, e.g., AR 472 (December 6, 2017); AR 469 (January 4, 2018); AR 466 (March 7, 2018); AR 463 (May 28,  
24     2018); AR 701 (August 8, 2018).

25     <sup>9</sup> See, e.g., AR 469, 466, 472.

26     <sup>10</sup> See, e.g., AR 472, 469, 466, 463, 701, 719, 806.

27     <sup>11</sup> See AR 936 (November 30, 2018); AR 939 (January 3, 2019); AR 942 (January 18, 2019); AR 945 (January  
28     31, 2019).

1 three types of physicians: (1) those who treat the claimant (treating physicians); (2) those who  
2 examine but do not treat the claimant (examining physicians); and (3) those who neither examine  
3 nor treat the claimant (nonexamining physicians).” *Lester v. Chater*, 81 F.3d 821, 830 (9th Cir.  
4 1995). For claims filed before March 27, 2017, as is the case here, “the opinion of a treating  
5 physician is [given] greater weight than that of an examining physician, [and] the opinion of an  
6 examining physician is entitled to greater weight than that of a nonexamining physician.” *Garrison*  
7 *v. Colvin*, 759 F.3d 995, 1012 (9th Cir. 2014) (internal citation omitted); *see also* 20 C.F.R.  
8 §§ 404.1527, 416.92.

9 “If a treating or examining doctor’s opinion is contradicted by another doctor’s opinion, an  
10 ALJ may only reject it by providing specific and legitimate reasons that are supported by substantial  
11 evidence.” *Garrison*, 759 F.3d at 1012 (internal citations omitted). “This is so because, even when  
12 contradicted, a treating or examining physician’s opinion is still owed deference and will often be  
13 ‘entitled to the greatest weight . . . even if it does not meet the test for controlling weight.’” *Id.*  
14 (citing *Orn v. Astrue*, 495 F.3d 625, 633 (9th Cir. 2007)). To satisfy the “substantial evidence”  
15 requirement of the specific and legitimate reasons standard, the ALJ should set forth a “detailed and  
16 thorough summary of the facts and conflicting clinical evidence, stat[e] his interpretation thereof,  
17 and mak[e] findings.” *Garrison*, 759 F.3d at 1012 (citing *Reddick v. Chater*, 157 F.3d 715, 725 (9th  
18 Cir. 1998)). “The ALJ must do more than state conclusions. He must set forth his own interpretations  
19 and explain why they, rather than the doctors’, are correct.” *Id.* (internal citation and quotation marks  
20 omitted). The ALJ can never arbitrarily substitute his own opinion for the opinion of competent  
21 medical professionals. *Tackett*, 180 F.3d at 1102–03. An ALJ may properly reject “the opinion of  
22 any physician, including a treating physician, if that opinion is brief, conclusory, and inadequately  
23 supported by clinical findings.” *Thomas v. Barnhart*, 278 F.3d 947, 957 (9th Cir. 2002). “Although  
24 the ALJ’s analysis need not be extensive, the ALJ must provide some reasoning in order for [the  
25 Court] to meaningfully determine whether the ALJ’s conclusions were supported by substantial  
26 evidence.” *Brown-Hunter v. Colvin*, 806 F.3d 487, 495 (9th Cir. 2015) (internal citations omitted).

27 Here, the ALJ did not accord any weight to the opinion of Plaintiff’s treating physician, Dr.  
28 Cruvant, that Plaintiff “would miss more than 4 days of work per month and that she could only lift

1 10 pounds, sit for an hour, stand/walk for zero hours, and that she would ‘constantly’ be off task.”  
2 AR 27. The ALJ discounted Dr. Cruvant’s opinion because it “is not supported by the claimant’s  
3 record . . . as it basically states the claimant would be bedridden, due to her lupus, neuropathy,  
4 tailbone fracture, and shoulder pain.” *Id.*

5 Plaintiff contends that the ALJ committed reversible error when he failed to explain his  
6 decision to afford Dr. Cruvant’s opinion no weight. She contends that the ALJ “provided no  
7 explanation” for his statement that the opinion was not supported by the medical record. ECF No.  
8 30 at 20. Plaintiff further contends that the ALJ’s discussion of the medical record generally cannot  
9 be viewed to provide that explanation, because the ALJ “d[id] not provide an accurate summary of  
10 the objective findings to prove substantial evidence supports his conclusions.” *Id.* at 21. Plaintiff  
11 points to portions of the medical record that demonstrate limited functioning that the ALJ did not  
12 include in his discussion. Plaintiff notes that the ALJ discussed injections Plaintiff received to  
13 manage her pain throughout 2017 and 2018, but did not address “the extensive positive findings that  
14 would certainly support Dr. Cruvant’s opined lifting and upper extremity limitations.” *Id.* Instead,  
15 the ALJ cited only to the relatively normal exam findings contained in the records from Personal  
16 Medical Care, while ignoring Dr. Rock’s physical exams, which paints a very different picture of  
17 Plaintiff’s functioning. *Compare* Personal Medical Care progress notes (AR 530-71; 729-63; 847-  
18 62) *with* Nevada Comprehensive Pain Center office treatment records (AR 595-699; AR 708-28;  
19 807-40; 871-84). Plaintiff also takes issue with the ALJ’s discussion of September 2018 imaging  
20 results, in which the ALJ stated that “cervical degeneration was noted, but no progression from prior  
21 radiographs.” AR 28. Plaintiff contends that this is inaccurate interpretation of the medical  
22 evidence, as no clinician found there was “no progression” from any past imaging result. ECF No.  
23 30 at 22.

24 The Court agrees that the ALJ did not give specific and legitimate reasons for rejecting Dr.  
25 Cruvant’s testimony. The Commissioner attempts to point to portions of the record that support the  
26 ALJ’s decision. But it is the ALJ’s responsibility to explain what portions of the record support  
27 discounting the opinion of a treating physician. And, even if the Court attempts to read the ALJ’s  
28 discussion of the record as supportive of his decision to reject Dr. Cruvant’s testimony, the ALJ’s

1 discussion was not sufficiently “detailed” or “thorough” to provide substantial evidence for his  
2 determination. The ALJ did not discuss the numerous physical examinations demonstrating  
3 decreased range of motion, weakness in bilateral upper extremities, positive Fabre and Patrick tests  
4 for SI joints, lack of sensation in the right arm or other medical facts. Because the ALJ omits this  
5 evidence, instead focusing on “normal” exam results from a different, less specialized doctor, it is  
6 unclear whether his assessment that she has no limitations in sitting, standing or walking, and his  
7 restriction to 20 pounds of lifting is supported by substantial evidence. *See Ghanim v. Colvin*, 763  
8 F.3d 1154, 1164 (9th Cir. 2014) (finding error when ALJ ignored portions of the record that  
9 supported plaintiff’s allegations); *Regennitter v. Comm’r of Social Sec. Admin.*, 166 F.3d 1294 (9th  
10 Cir. 1999) (finding “inaccurate characterization of the evidence” may warrant remand). The ALJ’s  
11 statement that Plaintiff benefited from pain management does not discuss that, despite any such  
12 improvement in pain, the physical exams accompanying those improvements continue to show  
13 severely decreased motion and strength and continued complaints of severe pain. Further, the ALJ  
14 determined that the new evidence of physical impairments was significant enough to discount the  
15 state agency physician opinions that Plaintiff could perform moderate work. He does not sufficiently  
16 explain why it supports an RFC of light work, but does not support Dr. Cruvant’s more severe  
17 findings. The ALJ also does not sufficiently explain why these records support his limitations  
18 regarding ropes, ladders, and reaching above the shoulder, but do not support further limitations to  
19 Plaintiff’s ability to walk, sit, stand or carry over 10 pounds that may have supported an RFC of  
20 sedentary work more in line with Dr. Cruvant’s opinion.

21 The Commissioner asks that the Court make “reasonable inferences” that the ALJ’s  
22 discussion of daily activities implicitly undercut Dr. Cruvant’s opinion. ECF No. 34 at 22. But the  
23 Court will not perform the leap required to tie the two together. The ALJ does not state that he  
24 rejected Dr. Cruvant’s opinion, at least in part, because it was inconsistent with Plaintiff’s daily  
25 activities. And the ALJ’s discussion of Plaintiff’s daily activities does not necessarily signal an  
26 inconsistency that warrants invalidating the entirety of Dr. Cruvant’s opinion. The ALJ notes that  
27 Plaintiff can “drive, watch TV, shop in stores, sit in the sun . . . and do ‘light’ housework and  
28 laundry.” AR 29. He further explains that “the claimant is able to engage in a number of activities,

1 including performing some chores, shopping, socializing, going out alone, that far exceed the  
2 allegations.” *Id.* The Court cannot determine whether these minimal daily activities support the  
3 RFC that allows for significant sitting, walking, standing, and heavy lifting throughout an 8-hour  
4 workday. In short, the ALJ’s decision to reject Dr. Cruvant’s opinion in its entirety, which would  
5 have supported an RFC for sedentary work, is not supported by substantial evidence.

6 Nor is this error harmless. “An error is harmless only if it is inconsequential to the ultimate  
7 disability determination, or if despite the legal error, “the agency’s path may reasonably be  
8 discerned.” *Brown-Hunter v. Colvin*, 806 F.3d at 494. Here, the Court cannot discern the agency’s  
9 path because the ALJ inadequately addressed more recent medical records that may have supported  
10 Dr. Cruvant’s opinion, at least to some extent. The Vocational Expert in this case was presented  
11 only with hypotheticals restricting Plaintiff to a range of light work, without any restrictions on  
12 sitting, walking, or standing. The Court therefore finds that remand is required for the ALJ to  
13 reconsider the evidence in this case and determine whether any weight need be given to Dr.  
14 Cruvant’s opinion.

15 **2. The ALJ did not err by failing to develop the record.**

16 Every medical opinion submitted in this case was authored in late 2016 or early 2017.<sup>12</sup>  
17 However, the administrative record contains additional medical records detailing Plaintiff’s ailments  
18 and treatments from 2017 through January 2019.

19 When discussing the state agency opinions in his decision, the ALJ accorded them “some  
20 evidentiary weight” because they were “not inconsistent with the medical evidence as a whole.” AR  
21 29. But the ALF noted that their opinions “that claimant is capable of a reduced range of medium  
22 exertion” were rendered by physicians who never met or examined Plaintiff. *Id.* He also noted that  
23 “additional records have been admitted into the record since the [agency] physicians rendered their  
24 opinions, which fact diminishes the value of the [agency] opinions as this new evidence more  
25 accurately reflects the current state of the claimant’s impairments.” AR 29–30. The ALJ,  
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28 <sup>12</sup> Dr. Holland’s opinion is dated November 3, 2016. AR 417-23. The agency opinions are dated November 22, 2016 and March 22, 2017. AR 61-74, 93-106. Dr. Cruvant’s opinion is dated May 4, 2017. AR 432-33.

1 considering all the evidence, fashioned an RFC reflecting that Plaintiff could perform a range of  
2 light work—a more restrictive RFC than that of the agency opinions.

3 Plaintiff contends that the ALJ’s acknowledgement of evidence post-dating the agency  
4 opinions meant that the ALJ found the agency opinions “stale.” ECF No. 30 at 15-16. Plaintiff  
5 further contends that, because the ALJ gave no weight to Dr. Cruvant’s opinion, and because Dr.  
6 Holland’s opinion was authored “several months prior to” the agency opinions and is therefore also  
7 “stale,” the ALJ considered virtually no medical opinions when fashioning Plaintiff’s RFC.<sup>13</sup>

8 Plaintiff claims that, in the face of “stale” medical opinions, the ALJ had a duty to develop  
9 the record by ordering new medical opinions that accounted for the new medical evidence. ECF No.  
10 30 at 18. Plaintiff states that the ALJ’s decision to instead interpret the “raw” medical evidence for  
11 himself was inappropriate, because ALJ’s must “resist the urge to play doctor” when determining an  
12 RFC. *Id.* at 17.

13 The Commissioner contends that Plaintiff waived this argument because it was not raised at  
14 the administrative hearing. *See, e.g., Meanel v. Apfel*, 172 F.3d 1111, 1115 (9th Cir. 1999) (“at least  
15 when claimants are represented by counsel, they must raise all issues and evidence at their  
16 administrative hearings in order to preserve them on appeal”); *Findley v. Saul*, 18-cv-341-BAM,  
17 2019 WL 4072364, at \*6 (E.D. Cal. Aug. 29, 2019) (rejecting argument that ALJ erred by failing to  
18 obtain additional medical opinions in response to new medical evidence, finding the record was not  
19 ambiguous or inadequate and noting that plaintiff’s counsel stated the record was complete);  
20 *Randolph v. Saul*, 18-cv-555-CLB, 2020 WL 504667, at \*8 (D. Nev. Jan. 31, 2020) (finding that gap  
21 in medical records did not trigger ALJ’s duty to develop the record, particularly because plaintiff’s  
22 attorney stated that the record was complete at hearing); *Patrick v. Berryhill*, No. EDCCV 17-2526-  
23 JPR, 2019 WL 1383800, at \*7 (finding plaintiff waived argument that ALJ failed to develop the  
24 record because it was not raised at the ALJ hearing or before the Appeals Council, relying on Meanel,  
25 172 F.3d at 1115); *Moruzzi v. Astrue*, No. EDCV 11-02040-AJW, 2012 WL 5412106, at \*9–10 (C.D.  
26 Cal. Nov. 5, 2012) (rejecting duty to develop argument because claimant’s attorney stated the record

27 <sup>13</sup> Plaintiff focuses only on her physical impairments when discussing this argument in her brief, so the Court  
28 does the same. The Court discusses the impact of Plaintiff’s “staleness” argument on the ALJ’s analysis of her mental  
impairments in its analysis of Plaintiff’s argument that the ALJ erred at step two, below.

1 was complete at ALJ hearing); *Werner v. Astrue*, 09-cv-104-JLT, 2010 WL 2180357, at \*8 (E.D.  
2 Cal. May 28, 2010) (rejecting argument that ALJ breached a duty to develop the record because the  
3 claimant’s attorney agreed at the hearing that the record was complete). The Court finds this  
4 authority persuasive. At the ALJ hearing, Plaintiff’s counsel confirmed that the medical record was  
5 complete. Plaintiff has therefore waived her duty-to-develop argument.

6 Plaintiff contends that she could not have known to keep the record open or seek a new  
7 medical opinion because she could not have known what information the ALJ would accept or reject  
8 until he rendered his opinion. ECF No. 36 at 2. Plaintiff claims her duty-to-develop arguments is  
9 predicated on the ALJ’s finding that the agency opinions were “stale”—a finding she could not have  
10 known about until the ALJ issued his decision. *Id.* But Plaintiff’s argument is predicated on an  
11 unsupportable interpretation of the ALJ’s decision. The ALJ did not find any medical opinions  
12 “stale.” Rather, he accorded five medical opinions—four agency opinions and one consulting  
13 examiner opinion—some weight, while also discounting the agency portions that were not entirely  
14 consistent with more recent medical evidence. When examining Plaintiff’s argument without the  
15 misleading lens of challenging reliance on a “stale” medical opinion, the Court finds that the  
16 gravamen of Plaintiff’s argument is really that the ALJ erroneously evaluated the new medical  
17 evidence without first ordering additional medical source opinions. Plaintiff’s counsel was aware at  
18 the hearing that: (1) all medical opinions of record were authored in late 2016 and early 2017; and  
19 (2) hundreds of pages of additional medical evidence had been submitted since then. However,  
20 when the ALJ asked if the record was complete, Plaintiff’s counsel responded that it was. AR 44.  
21 It is the Plaintiff’s burden to present evidence of disability. *Tidwell v. Apfel*, 161 F.3d 599, 600 (9th  
22 Cir. 1999); 20 C.F.R. § 404.1512(a) (“in general, you have to prove to us that you are blind or  
23 disabled). However, Plaintiff failed to submit additional medical source opinions describing how  
24 these new medical records impacted her inability to work. Plaintiff waived her duty-to-develop  
25 argument when she did not request additional medical source opinions when given the opportunity  
26 to do so.

27 Waiver aside, the ALJ did not violate any duty to develop the record. While an ALJ has an  
28 independent duty to fully and fairly develop the record, *Tonapetyan v. Halter*, 242 F.3d 1144, 1150

1 (9th Cir. 2001), this duty is triggered “only when there is ambiguous evidence or when the record is  
2 inadequate to allow for proper evaluation of the evidence.” *Mayes v. Massanari*, 276 F.3d 453, 459–  
3 60 (9th Cir. 2001). Plaintiff suggests that the record was “ambiguous” because the ALJ partially  
4 discounted medical opinions on the ground that they pre-dated other medical evidence that “more  
5 accurately reflects the current state of the claimant’s impairments.” AR 30. But courts in this Circuit  
6 have determined that “[t]he mere existence of medical records post-dating a state agency physician’s  
7 review does not in and of itself trigger a duty to further develop the record.” *Stivers v. Saul*, 2021  
8 WL 1193794, at \*8 (E.D. Cal. Mar. 30, 2021) (citing *Charney v. Colvin*, 2014 WL 1152961, at \*7  
9 (C.D. Cal. Mar. 21, 2014) (finding that the ALJ did not err in relying on the opinions of state agency  
10 physicians that did not account for subsequent medical records where subsequent records were  
11 considered by ALJ and were not inconsistent with RFC); *see also Findley*, 2019 WL 4072364, at \*6  
12 (rejecting plaintiff’s argument that ALJ erred by failing to obtain additional medical source opinions,  
13 finding that “the mere absence of a report from a treating or examining physician does not give rise  
14 to a duty to develop the record”). Again, it is plaintiff’s—not the ALJ’s—burden to present evidence  
15 of disability. If Plaintiff believed that the new evidence of record supported her claim, it was her  
16 burden to supplement the record with additional medical source opinions interpreting the evidence  
17 as such. And contrary to Plaintiff’s assertion, the ALJ did not entirely discount all of the medical  
18 opinions of the record, such that “no medical opinion” was used to determine Plaintiff’s RFC.  
19 Rather, he gave some weight to Dr. Holland’s and the agency opinions and considered the evidence  
20 that was submitted after those opinions were authored. While the ALJ found that the agency  
21 opinions were “fact diminished” by more recent medical evidence, he discussed that evidence and  
22 created an RFC with more physical limitations than those discussed in the agency opinions. Nothing  
23 in the record or the ALJ’s opinion indicate that the ALJ’s duty to develop was triggered by the entry  
24 of new evidence.

25 As to Plaintiff’s contention that the ALJ should not have evaluated the new medical evidence  
26 without the benefit of new medical opinions interpreting it, the Court is similarly unpersuaded.  
27 Plaintiff points to new evidence that she “underwent shoulder surgery, obtained updated objective  
28 imaging of her cervical spine and left elbow, received a new diagnosis of left elbow epicondylitis,

1 and underwent several rounds of injection therapy for her back impairments that were not wholly  
2 successful in alleviating her symptoms.” ECF No. 36 at 4 (citations omitted). Because the ALJ did  
3 not request a consultative examination<sup>14</sup> or some other medical opinion, the Plaintiff contends that  
4 any reliance on this new evidence was in error.

5 Plaintiff’s argument is misplaced. “It is clear that it is the responsibility of the ALJ, not the  
6 claimant’s physician, to determine residual functional capacity.” *Vertigan v. Halter*, 260 F.3d 1044,  
7 1049 (9th Cir. 2001); *see also* 20 C.F.R. § 404.1537(d)(2). ALJs are required to base their RFC  
8 finding “on all the relevant evidence in [one’s] case record,” rather than a single medical opinion or  
9 piece of evidence. 20 C.F.R. § 404.1545(a)(1). The ALJ is “responsible for translating and  
10 incorporating clinical findings into a succinct RFC.” *Rounds v. Commissioner Social Sec. Admin.*,  
11 807 F.3d 996, 1006 (9th Cir. 2015). “When there is conflicting medical evidence, the Secretary must  
12 determine credibility and resolve the conflict.” *Matney v. Sullivan*, 981 F.2d 016. 1019 (9th Cir.  
13 1992) (ALJ did not err when relying on a physician’s progress notes that contradicted medical  
14 opinion); *Brown-Hunter*, 806 F.3d at 492 (“[W]e leave it to the ALJ to determine credibility, resolve  
15 conflicts in the testimony, and resolve ambiguities in the record.”). The ALJ did not err by  
16 interpreting the new evidence without the benefit of additional medical opinions.<sup>15</sup>

17 Lastly, Plaintiff contends that the ALJ “played doctor” by interpreting x-rays and  
18 psychological test results. The Court finds that the ALJ did neither of those things. Rather, he relied  
19 on medical findings reported by clinicians throughout the record. It is not outside of the ALJ’s  
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21 <sup>14</sup> Plaintiff cites 20 C.F.R. §§ 404.1519a(b)(4); 416.919a(b)(4) to support her contention that the ALJ’s failure to  
22 order a consultative examination was in error. She claims the regulations allow an ALJ to “purchase a consultative  
23 examination” if “[t]here is an indication of a change in your condition that is likely to affect your ability to work, but the  
24 current severity of your impairment is not established.” The regulation is permissive—it merely informs claimants that  
25 the Commissioner might order a consultative examination. The regulation in no way *requires* the Commissioner do so.  
26 Further, Plaintiff’s case does not invoke the regulation she cites because the severity of Plaintiff’s impairments were  
27 established. The ALJ determined Plaintiff had severe impairments, including degenerative disc disease of the SI joint;  
28 degenerative disc disease; fibromyalgia; lupus, status-post remote intestinal surgery; and left shoulder rotator cuff tear,  
status post-surgical repair. In short, the ALJ was able to determine the severity of Plaintiff’s physical ailments based on  
the medical opinions of record *and* the medical evidence submitted after 2017, which he cited when determining that  
her physical impairments were severe. The ALJ did not err by failing to obtain an examination under this regulation.

15 While the Court has found that the ALJ did not adequately support his decision to reject Dr. Cruvant’s  
testimony, it was not because he did not have the benefit of additional medical opinions. Rather, the Court reached its  
decision because the ALJ did not sufficiently explain how the new evidence discounted Dr. Cruvant’s opinions. If the  
ALJ had adequately summarized the record before him and stated his interpretations thereof when discounting Dr.  
Cruvant’s opinions, he may not have erred.

1 purview to note that a clinician found Plaintiff's x-rays to show only "mild" or "moderate" 2 degeneration or other similar limitations. Indeed, that is exactly what the ALJ must do to determine 3 whether medical evidence supports a medical source opinion or supports a particular RFC. The ALJ 4 also did not err by interpreting Plaintiff's psychological records to show that "despite her depressed 5 mood," there was no evidence in the new records of "disordered thought process, cognitive 6 dysfunction, or intellectual deficits." AR 29, 24. The ALJ noted that the claimant is consistently 7 fully oriented and cognitively intact, and that most recent examinations showed normal cognition. 8 AR 28, 29. The ALJ is entitled to rely on objective clinical findings when determining a proper 9 RFC, and that is all the ALJ did here. For all of the reasons above, the Court finds that the ALJ did 10 not err by failing to develop the record by soliciting additional medical opinions to interpret new 11 medical records.

12 **3. The ALJ did not err by finding Plaintiff did not have a severe mental 13 impairment at step two.**

14 Plaintiff contends that the ALJ committed reversible error at step two when he determined 15 that Plaintiff did not suffer from any severe mental impairments. "Step two is merely a threshold 16 determination meant to screen out weak claims." *Buck v. Berryhill*, 869 F.3d 1040, 1048 (9th Cir. 17 2017) (citing *Bowen v. Yuckert*, 482 U.S. 137, 146–47 (1987)). As Plaintiff contends, the step-two 18 inquiry is "a de minimus screening device to dispose of groundless claims." *Edlund v. Massanari*, 19 253 F.3d 1152, 1158 (9th Cir. 2001), *as amended on reh'g* (Aug. 9, 2001). Once a claimant prevails 20 at step two, by achieving a finding of some severe impairment, regardless of which condition is 21 found to be severe, the ALJ proceeds with the sequential evaluation, considering at each step all 22 other alleged impairments and symptoms that may impact the claimant's ability to work. *See* 42 23 U.S.C. § 423(d)(2)(B); *Buck*, 869 F.3d at 1049 ("The RFC . . . should be exactly the same regardless 24 of whether certain impairments are considered 'severe' or not."). Thus, when an ALJ finds at least 25 one severe impairment and proceeds to consider evidence of limitations posed by all of a claimant's 26 impairments at step four, there is no reversible error for a failure to find additional severe 27 impairments at step two. *See Lewis v. Astrue*, 498 F.3d 909, 911 (9th Cir. 2007); *see also Buck*, 869 28

1 F.3d at 1049 (where step two was decided in plaintiff's favor, he could not have been prejudiced and  
2 any error was therefore harmless).

3 Here, the ALJ decided step two in Plaintiff's favor, finding that she had severe impairments  
4 of degenerative joint disease of the SI joint, degenerative disc disease, fibromyalgia, lupus, and left  
5 shoulder rotator cuff tear. AR 22. However, he found that because Plaintiff's mental impairments  
6 cause no more than mild limitation in any of the four mental functioning areas contained in the  
7 Listing of Impairments (20 C.F.R. Pt. 404, Subpt. P, App. 1), they were "nonsevere." AR 24. The  
8 ALJ determined that Plaintiff's records indicated that Plaintiff had no limitation in the first functional  
9 area of "understanding, remembering or applying information," no limitation in the second  
10 functional area of "interacting with others," only mild limitation in "concentrating, persisting, or  
11 maintaining pace," and no limitation in "adapting or managing oneself." AR 23–24. In making this  
12 finding, the ALJ considered the evidence of Plaintiff's mental impairments, including Dr. Holland's  
13 2016 opinion and extensive mental health treatment records. When determining that Plaintiff had  
14 no limitations in interacting with others, the ALJ rejected Dr. Holland's opinion that Plaintiff could  
15 not interact with the general public, noting that such an assumption "was solely based on claimant's  
16 subjective complaints." AR 23. He further noted that "throughout the record she is cooperative and  
17 pleasant, and there is no persuasive evidence to show that she could not interact with the public."  
18 *Id.* Later in his step two analysis, the ALJ noted that, while Plaintiff "has alleged significant social  
19 dysfunction that largely involves anxiety around others and social isolation . . . the claimant exhibits  
20 no significant social deficits when interacting with treating and examining sources . . . and is capable  
21 of effectively conveying information in a socially appropriate manner with no evidence of  
22 communicative deficits." AR 24.

23 The ALJ proceeded to steps three and four of the disability analysis finding that Plaintiff  
24 could perform moderate work with certain limitations. The ALJ discussed the psychiatric medical  
25 evidence in the record, noting that Plaintiff's mental status was "relatively stable" from September  
26 2017 to May 2018, despite anxiety and depression complaints. AR 28. He noted the many findings  
27 indicating normal mental status, fair judgment, intact memory, and appropriate thought process. AR  
28 29. He discussed treatment notes from November 2018 to January 2019 noting normal exam

1 findings and “normal cognition despite her depressed mood.” *Id.* He also discussed her daily  
2 activities, noting that they are inconsistent with the severity of impairments Plaintiff alleges. The  
3 ALJ noted that Plaintiff is “able to engage in a number of activities, including performing some  
4 chores, shopping, socializing, [and] going out alone, that far exceed the allegations.” *Id.* He also  
5 noted that Plaintiff is “capable of fine motor function and maintains enough attention to be able to  
6 follow a television show, or read.” *Id.* After considering this evidence, the ALJ added a limitation  
7 to “simple, repetitive tasks, and reasoning level 2–3” to account for Plaintiff’s pain complaints in  
8 combination with her non-severe mental issues. AR 22, 23. Thus, the determination that Plaintiff’s  
9 anxiety, depression, and PTSD were non-severe at step two is inconsequential, and any error by the  
10 ALJ at step two would have been harmless.

11 Plaintiff appears to contend instead that the ALJ’s failure to find any limitations with respect  
12 to her ability to interact with others was in error. She contends that any error at step two was harmful  
13 because “the ALJ did not question the VE regarding any social interactions.” ECF No. 30 at 25.  
14 The Court assumes this focus stems from Dr. Holland’s opinion that Plaintiff cannot interact with  
15 the general public—an opinion that the state agency physicians adopted as not inconsistent with the  
16 medical evidence. But Plaintiff does not challenge the ALJ’s stated reasons for discounting Dr.  
17 Holland’s opinion. Instead, she appears to contend that Dr. Holland’s opinion should have been  
18 rejected entirely as “stale” because it was submitted before the state agency physicians’ allegedly  
19 “stale” opinions. The Court rejects this argument for the same reasons it rejected these arguments  
20 against the state agency physician opinions.

21 Plaintiff attempts to salvage this argument by contending that the ALJ erred by failing to  
22 discuss specific findings in Plaintiff’s psychiatric treatment notes, in which “Plaintiff was noted to  
23 be soft-spoken, withdrawn, have abnormal mood or affect, persecutory and paranoid delusions,  
24 easily distractible concentration, and hallucinations.” ECF No. 30 at 24. Plaintiff also takes issue  
25 with the ALJ’s discussion of her psychiatric medications, noting that she was prescribed far more  
26 medication for her mental impairments than the ALJ acknowledged. *Id.* Plaintiff contends that this  
27 “mischaracterization” of the record means his step two determination was made in error.

1 Plaintiff fails to connect the ALJ's supposed mischaracterization of evidence to the harm she  
2 claims resulted from that error: the ALJ's failure to add social-interaction limitations to her RFC and  
3 question the VE with hypotheticals including the same. Despite Plaintiff's references to specific  
4 treatment notes, she does not take issue with the myriad of convincing reasons the ALJ gave when  
5 reaching the conclusion that Plaintiff was not limited in her ability to interact with the public. As  
6 noted above, the ALJ gave several distinct reasons for rejecting the opinion that Plaintiff was limited  
7 in her ability to interact with others. "When the evidence before the ALJ is subject to more than one  
8 rational interpretation, we must defer to the ALJ's conclusion." *Batson*, 359 F.3d at 1198 (citing  
9 *Andrews v. Shalala*, 53 F.3d 1035, 1041 (9th Cir. 1995)). The Court defers to the ALJ's  
10 determination that the record did not support any limitations to her ability to interact with others,  
11 based on his findings that her interaction with her physicians indicated no significant issues, that her  
12 daily activities were inconsistent with her alleged symptoms, and that she is capable of  
13 communicating effectively.

14 In short, any error at step two was harmless because the ALJ carefully considered the  
15 evidence of Plaintiff's mental impairments and incorporated mild limitations to concentration in the  
16 RFC. His determination that Plaintiff did not have social-interaction limitations is supported by  
17 substantial evidence.

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#### IV. ORDER

IT IS HEREBY ORDERED that Plaintiff's Motion for Reversal and/or Remand (ECF No. 30) is GRANTED in part, and Defendant's Cross-Motion to Affirm (ECF No. 34) is DENIED in part.

IT IS FURTHER ORDERED that this matter is remanded for further administrative proceedings consistent with this Order. On remand, the ALJ should reevaluate whether specific and legitimate reasons exist to discount Dr. Cruvant's opinion, particularly in light of the medical evidence submitted after 2017.

Dated this 2nd day of July, 2021

Elayna J. Youchah  
ELAYNA J. YOUCAH  
UNITED STATES MAGISTRATE JUDGE